

## Contents for Part 7

Section H. PATHWAY 6: Behavior.....	2
H.17A. Wandering Behavior.....	2
H.17B. Verbally Abusive Behavior.....	3
H.17C. Physically Abusive Behavior .....	3
H.17D. Socially Inappropriate/Disruptive Behavior .....	4
H.17E. Mental Health Problems or Conditions .....	4
Delusions (Definition): .....	5
H.17E.a. Delusions.....	5
Hallucinations (Definition): .....	5
H.17E.b. Hallucinations .....	5
Section I. PATHWAY 7: Service Dependency.....	6
7.0. Imminent Risk of Nursing Facility Placement.....	7
7.0.1. Viewing the Imminent Risk determination in the LOCET Software .....	8
7.1. Imminent Risk -- Part One:.....	9
7.1.1. Imminent Risk, Part One: Nursing Facility Residence: .....	9
7.1.2. Imminent Risk, Part One: Caregiver Status Requires Verification .....	10
7.1.3. Imminent Risk, Part One: Three of Eight Institutional Risk Items Required: .....	11
7.2 Imminent Risk -- Part Two:.....	12
7.2.1. Medical Deterioration Risk Determination and Input: .....	12

## Section H. PATHWAY 6: Behavior

This Pathway identifies applicants who display repetitive behavioral challenges. For this area, identify whether the applicant has displayed any challenging behaviors in the last 7 days. Note that the items ask for the number of days in which the behaviors are exhibited, not individual episodes. For instance, if the applicant had three episodes of wandering on one day, that would count for one day of wandering. The 7-day look-back period is based on the Eligibility Tool (LOCET) date.

### H.17A. Wandering Behavior

Wandering describes those applicants who move about (in- or out-of-doors) with no discernible, rational purpose. Individuals who wander may be oblivious to their physical or safety needs. Wandering behavior should be differentiated from purposeful movement (i.e., a hungry applicant moving about the apartment in search of food). Wandering may be by walking or by wheelchair. Do not include pacing as wandering behavior. Wandering can occur indoors or out of doors.

**Selection a: Behavior not exhibited in last 7 days**

Code if the applicant did not display any wandering behavior in the last 7 days.

**Selection b: Behavior of this type occurred 1 to 3 days in last 7 days**

Code if the applicant exhibited wandering behavior on 1, 2, or 3 days within the last 7-day period.

**Selection c: Behavior of this type occurred 4 to 6 days, but less than daily**

Code if the applicant exhibited wandering behavior on 4, 5, or 6 days within the last 7-day period.

**Selection d: Behavior of this type occurred daily**

Code if the applicant exhibited wandering behavior on each day within the last 7-day period.

**Selection e: Unknown to Informant**

Code if the informant (caller) is not aware of the applicant's frequency of wandering within the last 7 days.

## H.17B. Verbally Abusive Behavior

This section identifies applicants who threatened or screamed at others.

### **Selection a: Behavior not exhibited in last 7 days**

Code if the applicant did not display any verbally abusive behavior in the last 7 days.

### **Selection b: Behavior of this type occurred 1 to 3 days in last 7 days**

Code if the applicant exhibited verbally abusive behavior on 1, 2, or 3 days within the last 7-day period.

### **Selection c: Behavior of this type occurred 4 to 6 days, but less than daily**

Code if the applicant exhibited verbally abusive behavior on 4, 5, or 6 days within the last 7-day period.

### **Selection d: Behavior of this type occurred daily**

Code if the applicant exhibited verbally abusive behavior on each day within the last 7-day period.

### **Selection e: Unknown to Informant**

Code if the informant (caller) is not aware of the applicant's frequency of verbally abusive behavior within the last 7 days.

## H.17C. Physically Abusive Behavior

This section identifies applicants who hit, shoved, scratched or sexually abused others.

### **Selection a: Behavior not exhibited in last 7 days**

Code if the applicant did not display any physically abusive behavior in the last 7 days.

### **Selection b: Behavior of this type occurred 1 to 3 days in last 7 days**

Code if the applicant exhibited physically abusive behavior on 1, 2, or 3 days within the last 7-day period.

### **Selection c: Behavior of this type occurred 4 to 6 days, but less than daily**

Code if the applicant exhibited physically abusive behavior on 4, 5, or 6 days within the last 7-day period.

### **Selection d: Behavior of this type occurred daily**

Code if the applicant exhibited physically abusive behavior on each day within the last 7-day period.

### **Selection e: Unknown to Informant**

Code if the informant (caller) is not aware of the applicant's frequency of physically abusive behavior within the last 7 days.


LOCET User Manual for System Users Version 2.0

Office of Aging & Adult Services

Issue Date 01/12/2010

All prior versions are obsolete

**PART 7** Page 3 of 12

 **READER's KEY:** Lines of text marked with a marginal designator in the left margin do not appear in the LOCET User Manual for Nursing Facility Personnel. See pp. i and ii.

## **H.17D. Socially Inappropriate/Disruptive Behavior**

This section identifies applicants who made disruptive sounds, noisiness, or screaming, who performed self-abusive acts, inappropriate sexual behavior or disrobed in public, who smeared or threw food/feces, or who hoarded or rummaged through others' belongings.

### **Selection a: Behavior not exhibited in last 7 days**

Code if the applicant did not display any socially inappropriate or disruptive behavior in the last 7 days.

### **Selection b: Behavior of this type occurred 1 to 3 days in last 7 days**

Code if the applicant exhibited socially inappropriate or disruptive behavior on 1, 2, or 3 days within the last 7-day period.

### **Selection c: Behavior of this type occurred 4 to 6 days, but less than daily**

Code if the applicant exhibited socially inappropriate or disruptive behavior on 4, 5, or 6 days within the last 7-day period.

### **Selection d: Behavior of this type occurred daily**

Code if the applicant exhibited socially inappropriate or disruptive behavior on each day within the last 7-day period.

### **Selection e: Unknown to Informant**

Code if the informant (caller) is not aware of the applicant's frequency of socially inappropriate or disruptive behavior within the last 7 days.

## **H.17E. Mental Health Problems or Conditions**

Applicants who need long term care may experience delusions and hallucinations that impact the applicant's ability to live independently in the community. Applicants who qualify at this Pathway must also meet the PASARR requirements for nursing facility admission if they choose a residential setting for care.

**Delusions (Definition):**

“Fixed, false beliefs not shared by others that the applicant holds even when there is obvious proof or evidence to the contrary (e.g., belief that he or she is terminally ill; belief that spouse is having an affair; belief that food is poisoned).”<sup>1</sup>

**H.17E.a. Delusions**

Identify and code if the applicant experienced any delusions which impacted his/her ability to function in the community within the last 7 days. The 7-day look-back period is based on the Eligibility Tool (LOCET) date.

**Enter “0”** if the applicant experienced no delusions which impacted his/her ability to function in the community within the last 7 days.

**Enter “1”** if the applicant experienced any delusions which impacted his/her ability to function in the community within the last 7 days.

**Enter “2”** if the informant (caller) is not aware if the applicant experienced any delusions which impacted his/her ability to function in the community within the last 7 days.:

**Hallucinations (Definition):**

“False perceptions that occur in the absence of any real stimuli. An hallucination may be auditory (e.g., hearing voices). Visual (e.g., seeing people, animals), tactile (e.g., feeling bugs crawling over skin), olfactory (e.g., smelling poisonous fumes), or gustatory (e.g., having strange tastes).”<sup>2</sup>

**H.17E.b. Hallucinations**

Identify and code if the applicant experienced any hallucinations which impacted his/her ability to function in the community within the last 7 days. The 7-day look-back period is based on the Eligibility Tool (LOCET) date.

**Enter “0”** if the applicant experienced no hallucinations which impacted his/her ability to function in the community within the last 7 days.

---

<sup>1</sup> Morris JN, Fries BE, Bernabei R, et al, p.97.

<sup>2</sup> *Ibid.* p.98.

**Enter “1”** if the applicant experienced any hallucinations which impacted his/her ability to function in the community within the last 7 days.

**Enter “2”** if the informant (caller) is not aware if the applicant experienced any hallucinations which impacted his/her ability to function in the community within the last 7 days.

## **Section I. PATHWAY 7: Service Dependency**

This section refers to applicants who are currently enrolled in and receiving services from either the Waiver or State Plan Program, PACE program or a Medicaid reimbursed nursing facility, and who were receiving such services prior to 12/01/2006 with no break in service to the present day. The applicant qualifying under Pathway 7 is eligible for continued enrollment and delivery of services from these programs.

### **I.18.a. Not approved for or receiving these services before 12/01/2006.**

Make this selection when:

- the applicant is currently not being served by Waiver or State Plan, or PACE or by a Medicaid reimbursed nursing facility, OR
- the applicant has not been continuously served by Waiver or State Plan, or PACE or by a Medicaid reimbursed nursing facility since 12/01/2006 with no break in service to the present day,

### **I.18.b. Was approved for these services prior to 12/01/2006 and requires ongoing services to maintain current functional status.**

Make this selection when:

- the applicant has been served by Waiver or State Plan, or PACE or by a Medicaid reimbursed nursing facility since prior to 12/01/2006 with no break in service to the present day AND requires ongoing services to maintain current functional status.

## 7.0. Imminent Risk of Nursing Facility Placement

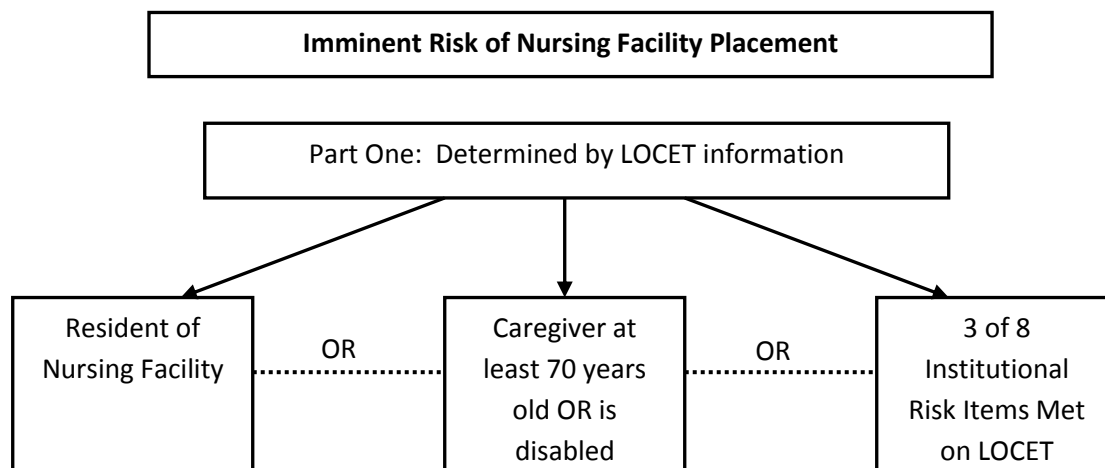
Some programs which OAAS administers require a determination of Imminent Risk of Nursing Facility Placement prior to final eligibility for the programs. Consult the specific program chapter to determine if Imminent Risk of Nursing Facility Placement is required for a particular program.

In addition to the presumptive determination of nursing facility level of care, information gathered on the LOCET will also help to determine if the individual has met Imminent Risk criteria.

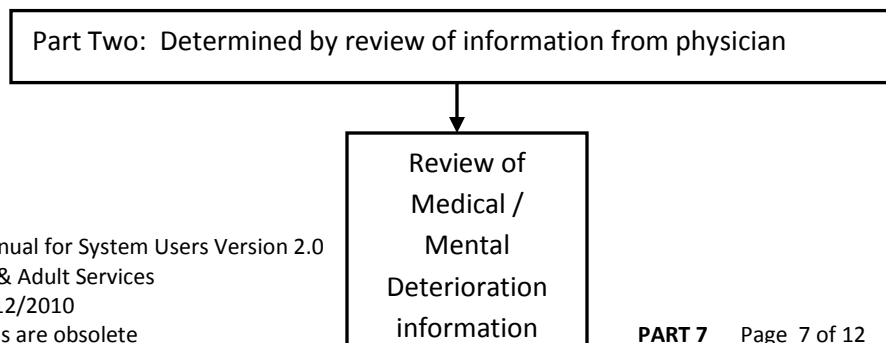
For instructions on the process of making an Imminent Risk determination, see the Level of Care Eligibility Chapter of the OAAS Policy Manual. The instructions which follow here indicate the LOCET System user's responsibilities in interpreting the Imminent Risk CAP and further input for Imminent Risk which may be required.

### *Schematic for Imminent Risk Determination Process*

The schematic shown below is a basic depiction of the two-part review process for determination of Imminent Risk of Nursing Facility Placement.



If Imminent Risk is not determined to be met on Part One, the review continues to Part Two.



### 7.0.1. Viewing the Imminent Risk determination in the LOCET Software

There are three places where the Imminent Risk determination is shown in the LOCET software, as shown in figures 7-1, 7-2 and 7-3 below. All three places must be checked to determine if Imminent Risk of Nursing Facility Placement has been met.

The screenshot shows the TeleSys software interface. On the left is a navigation pane with buttons like 'Client List', 'LOCET', 'LOCET Assessment List', etc. The main window displays a table titled 'LOCET Assessment List'. The table has columns for 'Client Name', 'LOCET', 'Status', 'LOCET Indicator', 'Type', 'LOCET Indicator', 'Status', and 'Imm Risk'. The 'Imm Risk' column contains values like 'Met', 'Pend(ing)', 'Closed', and 'Not Met'. A red circle highlights the 'Imm Risk' column. Arrows point from the text in Figure 7-1 to this column and the 'LOCET Assessment List' title bar.

Figure 7-1: LOCET Grid display. To view the LOCET Grid display, complete a client search for the applicant. When the applicant's name is shown at the top of the screen under "Client List," click on "LOCET" on the left navigation buttons. This will cause all the LOCETs completed for this applicant to be displayed beneath the LOCET Assessment List title bar on the lower portion of the screen. This is referred to as the "Grid Display." Imminent Risk determination by the software will be displayed in the column marked "Imm Risk." The LOCET Assessment List Grid display is complete only for "Met" or "Pend(ing)" status of Imminent Risk. If

"Closed" or "Not Met" is displayed in the Imm Risk column, then look for a LOCET Indicator Code in Section K of the LOCET to verify Imminent Risk status by the presence of a LOCET Indicator Code.

The screenshot shows the TeleSys software interface. On the left is a navigation pane with buttons like 'Client List', 'LOCET', 'View CAPs', etc. The main window displays a table titled 'View CAPs'. The table has columns for 'CAP', 'Status', 'CAP', 'Status', 'CAP', 'Status'. The 'View CAPs' button in the left navigation pane is highlighted with a red circle. Arrows point from the text in Figure 7-2 to this button and the 'View CAPs' button in the right navigation pane.

Figure 7-2: LOCET CAPs Display. To view the LOCET CAPs display, open (or select from the LOCET Grid Display) the applicant's LOCET. Then, on the left navigation buttons, click on "View CAPs." This will cause the applicant's CAPs display to be visible on the screen. Imminent Risk is the first CAP to be displayed, so it will always be viewed after the View CAPs button is clicked. If another LOCET CAP is to be viewed, that CAP may be selected by using the Right Navigation buttons shown.





Figure 7-3: LOCET Section K Display. Section K follows Section J of each LOCET. This section will indicate if the Imminent Risk determination review occurred more than 34 days after the completion of the LOCET.

## 7.1. Imminent Risk -- Part One:

Part One of Imminent Risk is determined by the information gathered during a LOCET interview. Certain items within the LOCET (displayed on the Imminent Risk CAP display shown in Figure 7-1) must be triggered in order to meet Imminent Risk on Part One. If these items are met, the Imminent Risk CAP display will state, "Triggered."

If "Triggered" is shown in the Imminent Risk CAP display, Imminent Risk was met in Part One of the Imminent Risk determination process.

### 7.1.1. Imminent Risk, Part One: Nursing Facility Residence:

If Item B.7. on the LOCET (location of applicant) is coded with selection "3" (nursing facility), the Imminent Risk CAP display will display "Triggered" and a red "3" will be visible in the Response column. See the Level of Care Eligibility Chapter of the OAAS Policy Manual, Section 7.1.1. for instructions on verification of this item. Any verification done for Item B.7. on the LOCET should be recorded in the Notebook of the LOCET.

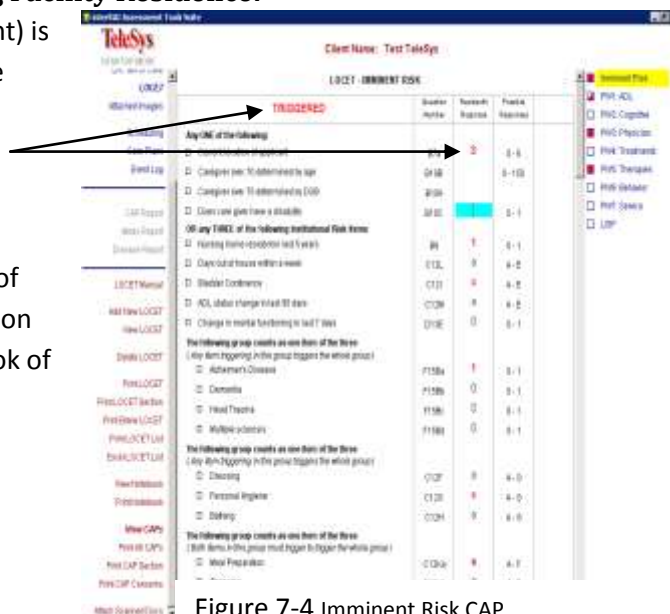


Figure 7-4 Imminent Risk CAP Display, Imminent Risk Triggered

**READER'S KEY:** Lines of text marked with a marginal designator in the left margin do not appear in the LOCET User Manual for Nursing Facility Personnel. See pp. i and ii.

### 7.1.2. Imminent Risk, Part One: Caregiver Status Requires Verification:

As shown on the LOCET Imminent Risk CAP display (see Figure 7-5), Caregiver Age of 70 and older or Caregiver Disability may trigger the Imminent Risk determination alone, without the presence of any other Institutional Risk item.

Figure 7-5

However, if an applicant's LOCET shows that he/she only meets Imminent Risk on the basis of Caregiver Age and / or Caregiver Disability (to be referred to as Caregiver Status from here on), the LOCET will not show "Triggered" but "Pending" status for the Imminent Risk CAP display.

The LOCET Assessment List Grid display (Figure 7-6) will also show this "Pending" Status for Imminent Risk for 34 days.

Figure 7-6

"Pending Imminent Risk" status will remain on the LOCET record for 34 days. If no input is made to either J.19H. or J.19I. within 34 days the system will automatically change the Imminent Risk status to "Closed" on the LOCET Grid Display. At that time the LOCET Imminent Risk CAP display will change from "Pending" to "Not Triggered."

**See Sections J.19H. and J.19I. for instructions regarding input of verification of caregiver age and / or disability. See Section 7.1.2.1. of the Level of Care Eligibility Chapter of the OAAS Policy & Procedure Manual for when verification of caregiver status is required.**

### 7.1.3. Imminent Risk, Part One: Three of Eight Institutional Risk Items Required:

The Imminent Risk algorithm and CAP display recognize that three of eight Institutional Risk items are needed to trigger as noted in Section 2 of the Imminent Risk CAP display (see Figure 7-2).

**LOCET - IMMINENT RISK**

**TRIGGERED**

Question Number	Response	Possible Response
<b>Any ONE of the following:</b>		
<input type="checkbox"/> Current location of applicant	B7a	0 - 0
<input type="checkbox"/> Caregiver over 70 determined by age	B10B	0 - 150
<input type="checkbox"/> Caregiver over 70 determined by DOB	B10A	
<input type="checkbox"/> Does care giver have a disability	B10C	0 - 1
<b>OR any THREE of the following Institutional Risk Items</b>		
<input type="checkbox"/> Nursing home resident in last 5 years	B8	0 - 1
<input type="checkbox"/> Days out of house within a week	C12L	A - E
<input type="checkbox"/> Bladder Continence	C12I	A - E
<input type="checkbox"/> ADL status change in last 90 days	C12M	A - E
<input type="checkbox"/> Change in mental functioning in last 7 days	D13E	0 - 1
<b>The following group counts as one item of the three (Any item triggering in this group triggers the whole group)</b>		
<input type="checkbox"/> Alzheimer's Disease	F15Ba	0 - 1
<input type="checkbox"/> Dementia	F15Bb	0 - 1
<input type="checkbox"/> Head Trauma	F15Bc	0 - 1
<input type="checkbox"/> Multiple sclerosis	F15Bd	0 - 1
<b>The following group counts as one item of the three (Any item triggering in this group triggers the whole group)</b>		
<input type="checkbox"/> Dressing	C12F	A - G
<input type="checkbox"/> Personal Hygiene	C12G	A - G
<input type="checkbox"/> Bathing	C12H	A - G
<b>The following group counts as one item of the three (Both items in this group must trigger to trigger the whole group)</b>		
<input type="checkbox"/> Meal Preparation	C12Ka	A - F
<input type="checkbox"/> Shopping	C12Kb	A - F

**Imminent Risk**

- ☐ PWN: ADL
- ☐ PWN: Cognitive
- ☐ PWN: Physician
- ☐ PWN: Treatments
- ☐ PWN: Therapies
- ☐ PWN: Behavior
- ☐ PWN: Service
- ☐ LBP

Figure 7-6

Figure 7-2

If the LOCET information shows that any three of these eight institutional risk items are present for the applicant, the LOCET CAPs display and the LOCET Grid Display will show “Triggered” for Imminent Risk. No further documentation is required for a determination that Imminent Risk is met.

**READER'S KEY:** Lines of text marked with a marginal designator in the left margin do not appear in the LOCET User Manual for Nursing Facility Personnel. See pp. i and ii.

## 7.2 Imminent Risk -- Part Two:

If the applicant did not meet Imminent Risk at Part One of the Imminent Risk determination process (as determined by LOCET), he/she moves on to the Part Two review of Imminent Risk criteria. This second part review consists of review of medical statements and / or medical documentation submitted by the applicant's physician.

### 7.2.1. Medical Deterioration Risk Determination and Input:

See the Level of Care Eligibility Chapter of the OAAS Policy & Procedure manual, Section 7.2, for a discussion of how the Medical Deterioration Risk Determination is completed for Part II of the Imminent Risk determination. The OAAS-designee will input the determination into the LOCET Software utilizing Section K. See Section K of this manual for a description of the input codes related to Part II of the Imminent Risk determination.